

**S/N Unknown**

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: David R. Tushie et al.

Examiner: Unknown

Serial No.: Unknown

Group Art Unit: Unknown

Filed: Herewith

Docket: 457.003US3

Title: SYSTEM AND APPARATUS FOR SMART CARD PERSONALIZATION



**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the enclosed materials are brought to the attention of the Examiner for review in connection with the above-identified patent application. Pursuant to the provisions of MPEP 609, Applicants request that a copy of the 1449 form, initialled as being considered by the Examiner be returned to the Applicants.

In accordance with 37 C.F.R. §1.98(d), copies of the listed documents are not provided as these references were previously cited by or submitted to the U.S. Patent Office in connection with Applicants' prior U.S. application, Serial No. 09/139,163, filed on August 24, 1998, which is relied upon for an earlier filing date under 35 U.S.C. §120.

Applicants respectfully request consideration of these references during prosecution of the above-identified matter. The Examiner is invited to contact the Applicants' Representative at the below-listed telephone number if there are any questions regarding this communication.

Respectfully submitted,

DAVID R. TUSHIE ET AL.

By their Representatives,

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Date Oct. 12, 1999

By Ann M. McCrackin

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Mailing Date: Oct. 12, 1999

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to Box PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231.

Chris Hammond  
Printed Name

Chris Hammond  
Signature

Sheet 1

Form 1449*	Atty. Docket No.: 457.003US3	Serial No. Unknown
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	Applicant: David R. Tushie et al.	
	Filing Date: Herewith	Group: Unknown



## U.S. PATENT DOCUMENTS

**Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	4,772,782	09/20/1988	Nonat, J.	235	380	12/27/85
	4,825,054	04/25/1989	Rust, J.J., et al.	235	380	02/16/88
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	4,866,259	09/12/1989	Bonnemoy, M.A.	235	475	08/10/87
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	4,882,474	11/21/1989	Anderl, E.C., et al.	235	380	02/05/88
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	5,578,808	11/26/1996	Taylor, D.C.	235	380	02/28/95
	5,684,742	11/04/1997	Bublitz, H., et al.	365	189.01	06/12/96
	5,889,941	03/30/1999	Tushie, D.R., et al.	395	186	11/22/96

## FOREIGN PATENT DOCUMENTS

**Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes   No
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## OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner	Date Considered
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\*Substitute Disclosure Statement Form (PTO-1449)

\*\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.